

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(43) International Publication Date  
1 April 2004 (01.04.2004)

PCT

(10) International Publication Number  
**WO 2004/027396 A1**

(51) International Patent Classification: **G01N 21/17** (74) Agent: **CHARIG, Raymond; Eric Potter Clarkson, Park View House, 58 The Ropewalk, Nottingham NG1 5DD (GB).**

(21) International Application Number:  
**PCT/GB2003/004153**

(22) International Filing Date:  
22 September 2003 (22.09.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
0221966.5 21 September 2002 (21.09.2002) GB

(71) Applicants (for all designated States except US): **SONOPTIX LIMITED** [— / —]; 7-11 Bath Street, St Helier, Jersey JE4 8US (GB). **SAM, Jameel** (MC/MC); 74 Boulevard d'Italie, MC-98000 Monaco (MC).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **SINDI, Hayat** [SA/GB]; 29 Field View, Bar Hill, Cambridge CB3 8SX (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

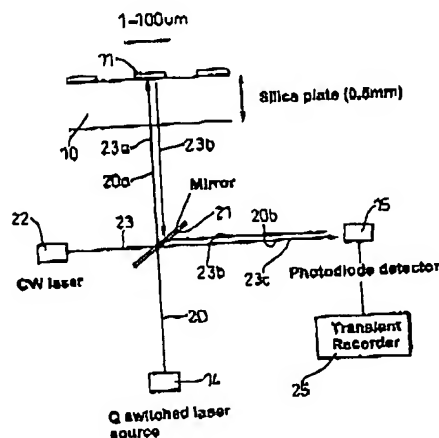
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

## Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: **BIOCHEMICAL SENSOR WITH THERMOELASTIC PROBES**



(57) Abstract: The thermoelastic response of certain materials to an incident electromagnetic excitation beam is highly sensitive to physical conditions existing at the surface of the material. Probe structures carrying probe materials are used as sensors in the analysis and investigation of bio-chemical molecules. Each probe structure is adapted to undergo a thermoelastic response when excited by temporally varying electromagnetic radiation, characteristics of the thermoelastic response being a function of physical properties of material binding to the surface of the probe structure. An electromagnetic excitation means directs electromagnetic energy at a selected one of the probe structures in order to elicit the excitation response. A detection means determines change in excitation response of the probe structures resulting from the binding of molecules thereto.

**WO 2004/027396 A1**

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

WO 2004/027396

PCT/GB2003/004153

## TRANSDUCER SENSOR

The present invention relates to a transducer sensor device, and in particular to an array of such devices that can be used in the analysis of molecular structures, e.g. of bio-chemicals.

For the efficient analysis and investigation of bio-chemical molecular structures, such as that which occurs during DNA sequencing, there is a strong demand for analytical tools that enable the use of hundreds or even thousands of molecular probes substantially simultaneously.

One way of achieving this is to provide a substrate with a large number of different probe molecules bound to its surface in an array. Each probe molecule is adapted to bind with a selected target molecule in a sample under analysis. The sample is first provided with suitable fluorescent markers prior to exposure to the array of probe molecules. After exposure of the sample to the array, provided that the location and identity of each different probe molecule in the array is known, analysis of the sample is possible using a confocal microscope to identify array positions in which fluorescence indicates the presence of a sample molecule bound to the respective probe molecule.

Typically the probe molecules are oligonucleotides and the sample under analysis is a DNA sequence. Using such fluorescent techniques relatively high probe densities are possible.

A disadvantage of such techniques is that the sample must be pre-treated with fluorescent markers to allow for fluorescence detection after exposure

WO 2004/027396

to the probe array. A further disadvantage is that microscope imaging systems can be costly and inconvenient for rapid analysis.

Surface plasmon resonance (SPR) is based on an optical phenomenon that occurs in a thin metal film at an optical interface under conditions of total internal reflection. Conventional SPR sensors use a prism 'device' coated with a single thin metal layer. Any chemical adsorption to the outer surface of the metal layer or to an immobilised antibody or ligand on the outer surface of the metal layer leads to interfacial changes in the refractive index of the film. By directing a light beam into the prism, it is possible to measure the reflected light as a function of intensity and angle, to produce the well known SPR resonance spectrum. In a recent extension of this concept (US Patent 6,373,577) planar waveguide elements coated with a thin metal film are organised as a linear array of elements in which SPR can be separately generated.

The present invention is directed to an improved system and apparatus for analysing a sample using one, or an array, of probes that does not require the use of markers, that does not involve SPR, and that can readily be implemented using low cost equipment. In contrast to SPR, the present invention is based on a high peak power beam of electromagnetic radiation which temporarily illuminates a small region of a thermoresponsive sensor, such as a thin metal layer. The incident angle of the beam is constant and the electromagnetic radiation induces a thermal response that can be detected with an appropriate transducer. Chemical adsorption to the surface modulates the thermal response, which in turn affects the electrical output of the transducer.

WO 2004/027396

PCT/GB2003/004153

According to one aspect the present invention provides an apparatus for detecting a variation in a probe, comprising:

- a probe which is adapted to undergo one or more of a thermoelastic, thermoelectric or thermomagnetic excitation response when excited by
- 5 temporally varying electromagnetic radiation, the excitation response being a function of the physical and/or chemical properties of the probe and/or of material binding thereto;
- a source of electromagnetic radiation;
- means for directing the electromagnetic radiation at the probe; and
- 10 a transducer adapted to determine the excitation response of the probe.

- The probe usually comprises a plurality of probe molecules (probe material) carried on a separate surface, e.g. the surface of a substrate. The substrate is
- 15 preferably a thin film. However where the probe material on its own possesses appropriate physical properties the probe may be such material on its own.

- We prefer the apparatus to comprise a plurality of probes, e.g. in the form of
- 20 an array. Each probe may comprise probe material which is different to that on other probes.

- The probe material may consist of a collection of the same molecules or of a mixture of different molecules.

- 25 The surface of the probe may be a flat, e.g. a flat plate, but the surface may also be curved, e.g. a segment of a sphere and such curved surfaces are included in the term "plate".

WO 2004/027396

PCT/GB2003/004153

The thermal excitation response may be thermoelectric, thermomagnetic or preferably thermoelastic.

5 Preferably the source of electromagnetic radiation emits radiation in the optical portion of the electromagnetic spectrum, and more preferably is a laser. The source of electromagnetic radiation may be positioned so that the radiation impinges directly on the probe material, but more usually is positioned so that it first passes through a substrate transparent to the radiation before impinging on the probe material.

10

According to another aspect the invention provides a sensor, comprising:

a substrate, and

one or more probes on the surface of the substrate, the probes being adapted to undergo one or more of a thermoelastic, thermoelectric or thermomagnetic excitation response when excited by temporally varying  
15 electromagnetic radiation, the excitation response being a function of the physical and/or chemical properties of the probe(s) and/or material binding thereto.

20 The sensor is preferably in the form of a plate.

The substrate is preferably electromagnetically transparent, and may act as, or be part of, a transducer. The substrate is conveniently of such a thickness that it has sufficient strength for ease of handling, and also that it will permit  
25 the desired amount of electromagnetic radiation to pass through it. A convenient thickness is generally in the range 0.2 to 1.0 mm.

Preferably the sensor includes:

WO 2004/027396

PCT/GB2003/004153

a plurality of probes, e.g. thin film probe structures as defined below, each of which is adapted to undergo a localised electrical response when the probe is excited by temporally varying electromagnetic radiation and of generating an electrical output response corresponding thereto, the characteristics of the electrical response being a function of the physical or chemical properties of the probe and/or of material binding thereto, and a transducer for transmitting the electrical response.

Preferably different probe materials are bound to different probes. When the probes comprise probe materials bound to a separate surface we prefer the surface to carry a layer, and preferably a thin layer, e.g. of silica, for receiving the respective probe materials and assisting the probe materials to adhere to the surface. The layer may, for example, be about 10 nm thick

In one aspect the present invention utilises the phenomenon that the thermoelastic, thermoelectric or thermomagnetic response of a probe can be highly sensitive to physical and / or chemical conditions existing at the surface of the probe. Indeed it has been shown that the thermoelastic (acoustic) response characteristics can vary substantially as a function of mass bound to a surface, surface stiffness, dielectric constant, viscosity, surface free energy and other general surface properties.

The present invention exploits this phenomenon by, for example, providing one or more independent locations (spots or dots) on a substrate surface for which the thermal (e.g. thermoelastic) response can be assessed either qualitatively or quantitatively. When more than one location is involved the response characteristics can be assessed separately. The locations are preferably formed as an array of probes, the array preferably having a density of between 100 and 1,000,000 locations per square centimetre, and more

WO 2004/027396

PCT/GB2003/004153

preferably between 100 and 100,000 locations per square centimetre. Each location in the array effectively provides an independently addressable probe. Each probe can be excited to generate the thermal response by way of a beam of electromagnetic radiation, e.g. a laser beam.

5

Under an electromagnetic, e.g. laser, beam the probes absorb energy, e.g. optical energy, which is converted into thermal energy, which may be vibrational or acoustic energy, referred to herein as a thermoelastic response.

10 The periodic signal derived from a pulsed laser is ideal for linking high performance detection circuits that are based on synchronous detection thus providing a high signal to noise ratio. Short nano or sub-nano second heating of the probe produces a localised volumetric expansion in the probe as a function of its density, thermal expansion coefficient and reflection coefficient.

15

Where the probe has one or more molecules derived from the sample to be analysed attached, e.g. chemically immobilised, bound or otherwise fixed thereto, the localised volumetric expansion will be modulated by the attached molecules thereby providing a differential thermoelastic expansion. This  
20 modulation in the thermoelastic response is used in the present invention.

By means of this invention very small probes can be used and in consequence attachment of even a small amount of sample to the probe will cause a relatively great change in the properties of the probe and thus  
25 provide a high degree of sensitivity.

Embodiments of the present invention will now be described by way of example with reference to the accompanying drawings, in which like numerals denote like parts, and:



WO 2004/027396

PCT/GB2003/004153

Figure 1 is a schematic diagram illustrating the principles of the present invention;

Figure 2 is a schematic diagram of a first embodiment of the invention using a laser based excitation system and an optical detection  
5 system;

Figure 3 is a schematic diagram illustrating a further embodiment of the invention using a laser based excitation system and a piezoelectric detection system;

Figure 4 is a schematic diagram illustrating a further embodiment of the invention using a laser based excitation system and a thin film transducer  
10 detection system;

Figures 5A, 5B, 5C and 5D are schematic diagrams showing four different excitation and detection systems illustrating detection in both time and frequency domains;

Figures 6A, 6B and 6C are illustrations of typical thermoelastic response wave forms using the excitation and detection systems of Figure 5C; and  
15

Figures 7A, 7B and 7C illustrate the response of the system of Figure 5C to various reactions.

20

With reference to Figure 1, a transducer apparatus 1 for detecting spatially localised variations in material binding to a substrate is shown. A substrate 10, preferably formed from glass or similar material, has one or more thermoelastic sensing thin film structures 11 attached to the top surface 12 of  
25 the substrate in a conveniently configured array. Each of the sensing structures 11 has probe material 13 attached to the exposed upper surface of the thermoelastic thin film structures. The thin film structures will hereinafter be referred to as probe structures.

WO 2004/027396

PCT/GB2003/004153

Preferably the probe structures 11 comprise dots or spots of any suitable shape having a surface area of approximately  $10^{-6}$  to  $10^{-5}$  square centimetres. In a preferred embodiment the probe material comprises an oligonucleotide adapted to bind with specific DNA fragments. Each probe structure, or  
5 group of probe structures, has different oligonucleotide probe materials bound thereto.

In the present embodiment, the substrate 10 is optically transparent, and positioned beneath it is an optical source 14, e.g. a Q-switched laser, for  
10 delivering an excitation signal to the probe structure through the thickness of the substrate. Together with the optical source is an optical detection system 15 for detecting modulations in optical radiation reflected or returned from the probe structures. The excitation and detection systems are displaceable relative to the substrate, preferably in a plane substantially parallel to the  
15 substrate, and optionally also relative to each other, to enable scanning of the array.

A sample 16 comprising a plurality of fragments 17, e.g. DNA fragments in a buffer solution, is brought into contact with the top surface 12 of the  
20 substrate where specific fragments 17 bind with specific probe material 13. The binding of the sample 16, 17 with the probe material 13 of specific probe structures 11 results in a change in the characteristics of the thermoelastic response induced in the probe structures and this change is detected using the excitation and detection systems 14, 15.

25

### *Excitation and detection*

With reference to Figure 2 a preferred arrangement of excitation and detection system will now be described.

WO 2004/027396

PCT/GB2003/004153

A laser source 14 delivers an excitation beam 20 of suitable wavelength (e.g. 1056 nm) to a beam splitter 21. A first portion 20a of the excitation beam is transmitted by the beam splitter 21 to the substrate 10, and a second portion 20b is dissipated at the detection system 15. The first portion 20a of the excitation beam impinges on the substrate 10, is transmitted through the substrate and directed onto a selected probe structure 11.

A detection beam 23, from a continuous, low powered laser source 22 is also directed to the beam splitter 21. A first portion 23a of the detection beam is reflected by the beam splitter to the substrate 10 where it is reflected from the probe structure and deflected to the detection system 15 as an interference beam 23b. A second portion 23c of the detection beam is transmitted to the detection system directly as a reference beam. Interference between the two detection beam paths 23b and 23c occurs and this interference is detected by the detection system 15. The detection beam 23b is preferably broader than the probe structure so that it can detect excitation over the whole of the probe structure.

In this exemplary embodiment the probe structure 11 preferably comprises a metal film of thickness approximately 10 to 500 nm (and more preferably 10 to 100 nm), and having a diameter of approximately 1 to 100  $\mu\text{m}$ . Alternatively the individual probe structures may be defined within a continuous film with the probe area effectively defined by the excitation beam area.

The probe structure may be formed from any suitable metallic or other materials that provides the requisite thermoelastic properties, and which permits binding of suitable probe materials thereto, or chemical modification

WO 2004/027396

PCT/GB2003/004153

for attachment of suitable probe materials. Preferred for ease of chemical attachment is gold, and for its thermoelastic properties is aluminium. Other suitable materials include silver, titanium, copper, tungsten and polymeric materials.

5

A proportion of the excitation beam 20a is absorbed by the probe structure 11 causing a thermoelastic volume change in the probe structure. This volume change results in one or more of a change in the thickness, area or position of the probe structure 11. For example, the excitation beam  
10 produces longitudinal waves in the probe structure 11 driven by localised heating of the metal.

In a preferred arrangement, the power density of excitation beam falling onto the substrate is of the order of  $3 \times 10^{11} \text{ W.m}^{-2}$  and this power density yields a  
15 maximum strain on the probe structure of about 2%, ie. the width or thickness of the thermoelastic film increases by this amount. Generally, the minimum power density required of the excitation beam will depend upon the minimum thermoelastic response measurable by the detection apparatus. In a present embodiment, this minimum power density of excitation beam  
20 would be of the order of  $3 \times 10^8 \text{ W.m}^{-2}$ .

The interferometer formed from the combination of a. the beam 23a that is reflected off the probe structure 11 as beam 23b, with b. the reference beam 23c operates between the short duration pulses of the excitation beam. The  
25 thermoelastic change in dimension of the probe structure results in a corresponding amplitude, phase and phase angle variation at the photodiode detector 15. The position and expansion of the probe structure 11 is a function of the probe material bound thereto (and/or of any sample material which is attached to the probe material).

The result is that the amplitude, phase and phase angle of the response to excitation measured at the photodiode 15 is directly related to the quantity of material bound to the probe structure 13. Similarly any storage of energy in the probe structure results in vibrational, e.g. acoustic, resonance, that decays with time and, to a first approximation, is inversely proportional to the quantity of material bound to the probe structure 13, as will be shown later in Figure 6.

10 In general terms the thermoelastic response of the probe structure due to the electromagnetic, e.g. laser, excitation results in a change in how the probe structure reflects light. Changes in the thermal response will occur as a function of any changes that occur to the physical and/or chemical properties of the material bound to the surface of the probe structure, which can be  
15 detected by the detection system 15. If the excitation response is initially measured for the probe structure with the probe material 13 bound thereto (calibration data), and is then re-measured after exposure of the probe material to the sample 16 (sample data) any changes to the physical and/or chemical properties of the material (e.g. any fragments 17) binding to the  
20 surface of the probe structure will be indicated, e.g. quantitatively, by the magnitude of change in the thermal response. For certain analytical purposes a qualitative response may be sufficient.

The data for sample 16 is acquired by the difference between the calibration  
25 data and the sample data. Fast analogue to digital converters (for example, a transient recorder 25 such as a digitising oscilloscope) translate this information into a series of digital waveforms for analysis by available software. Recording and storing data from the interactions of known probe materials on the probe structures with known fragments in a sample enables

the rapid identification of such fragments in samples of unknown composition. An advantage of a wideband waveform acquisition system, e.g. an oscilloscope, is that the time domain signal is a full record of the excitation from its initial motion to its eventual relaxation. Where a less  
5 detailed emission response is required it is possible to use a low frequency synchronous approach, e.g. a lock-in amplifier which is simple in form and does not require radio frequency components.

Those skilled in the art will recognise that the thermoelastic response may be  
10 measured in a number of ways.

The excitation energy of the laser 14 may be in the form of a single pulse (e.g. where only qualitative data is required), or a series of pulses (e.g. where quantitative data is required). For each pulse the induced stress response of  
15 the probe structure to the rising edge of the excitation pulse may be analysed from the received signal and displayed on an oscilloscope (not shown).

Alternatively the Q-switching rate of the excitation laser may be used to synchronise a lock-in amplifier in order to provide an enhanced signal to  
20 noise ratio.

With reference to Figure 3 an alternative embodiment of probe structure, excitation system and detection system will now be described. In this arrangement each probe structure 30 is formed in a continuous thin film 32  
25 of electrically conductive, thermoelastic material on top of a dielectric, optically transparent substrate 31. The thin film 32 can provide an upper electrode of a piezoelectric transducer. Preferably the optically transparent substrate is quartz.

WO 2004/027396

PCT/GB2003/004153

In a preferred arrangement, a lower electrode 34, formed on the lower surface of the substrate 31 is an optionally apertured entrant electrode which provides access for an excitation beam 20 to the probe structure 30. The entrant electrode comprises a film of suitable electrically conductive material to provide a ground plane and thereby reduce external electromagnetic interference. The size of the probe structure 30 may therefore be effectively determined by the dimension of the excitation beam 20. If the entrant electrode is formed from an optically transparent electrically conducting material, e.g. an indium/tin oxide composition, then no aperture need be formed.

The electromagnetic excitation beam 20 in this instance comprises an optical beam generated by a Q-switched laser 14 and is preferably of the order of 1.0 to 100  $\mu\text{m}$  wide. The probe structure 30 responds to incidence of the optical excitation beam by thermoelastic volume changes therein according to the intensity and location of the beam. This produces a vibrational, e.g. an acoustic, response in the probe structure 30 which induces movement in the adjacent piezoelectric substrate 31 and thereby produces a signal current 35.

The result is that vibration induced in the probe structure 30 is coupled into the substrate 31. A wide range of frequencies is generated as a high frequency current.

It will be understood that in this embodiment the thermoelastic response of the probe structure 30 due to laser excitation results in a modulation of the electronic properties of the probe structure, e.g. it will drive the thermoelastic excitation response. Changes in this thermoelastic response will occur as a function of any changes that occur to the physical and/or chemical properties of the material binding to the surface of the probe

WO 2004/027396

PCT/GB2003/004153

structure, which can be detected by an electrically based detection system, rather than an optically based detection system.

5 The frequency and amplitude of the acoustic wave in the probe structure and thus of the induced signal current, is a function of the physical and/or chemical properties of material 33 bound to the surface of the probe structure 30. Thus, if the mass or other physical and/or chemical properties of the material 33 bound to the surface of the probe structure 30 changes, a consequent change in the amplitude and frequency of the current 35 is  
10 observed. As described below this can be monitored on an oscilloscope. Figure 6A shows the thermoelastic response signals including the initial response when the excitation laser beam strikes the probe structure. Figure 6B indicates the decay of the stored acoustic energy in the probe structure, while Figure 6C is the Fourier Transform of the received thermoelastic  
15 response signal indicating the various frequency components that are stimulated.

Changes that occur to the physical and/or chemical properties of the material bound to the probe structure can be detected by the detection system 15. If  
20 the excitation response is initially measured for the probe structure with the probe material 33 bound thereto, and is then measured again after exposure of the probe material to the sample 16, any changes to the physical and/or chemical properties of the material binding to the surface of the probe structure will be indicated, e.g. quantitatively, by the magnitude of change in  
25 the excitation response.

With reference to Figure 4 an alternative embodiment of the probe structure, excitation system and detection system will now be described. Like the arrangement of Figure 3 this embodiment also generates an electrical



WO 2004/027396

PCT/GB2003/004153

response to the excitation beam. In this arrangement the probe structure 40 is formed on a thin optically transparent substrate 10. The probe structure is a layered structure comprising an electrode 40a formed on the substrate, a transduction film 40b formed thereon, and an adhesion coating 40c on top; the coating 40c being such as to facilitate binding of probe material 44 thereto.

The optical excitation beam 20, 20a in this instance (and some other instances) may alternatively be directed from above the substrate, shown at 10 14a. The excitation beam 20, 20a is directed onto the probe structure 40, and the resulting thermoelastic, thermoelectric and/or thermomagnetic response of the transduction film 40b generates a detectable electrical output via the electrode 40a.

15 An infrared emission output by the probe structure can be detected by a transduction film 40b that is a pyroelectric film. An acoustic emission by the probe structure can be detected by the electrode, e.g. a metal film electrode, 40a. In the case of a thermomagnetic excitation response the transduction film 40b could be a magnetoresistive layer that changes its resistance 20 according to the magnetic field emission from the probe structure.

In common with the system of Figure 2 the infrared, acoustic, magnetic or charge emission response of the probe structures varies as a function of the physical and/or chemical properties of the material binding to the surface of 25 the probe structure. If the mass bound to the surface of the probe structure increases a changed, usually a greater, emission response is observed.

In the preferred embodiments, the transducer element provides for a direct electrical pickup of signal current therefrom. In an alternative embodiment

WO 2004/027396

PCT/GB2003/004153

the signal pickup could be remote, e.g. by electromagnetic induction. For example the piezoelectric transducer can provide an electromagnetic signal that can be detected remotely by suitable antennae according to known principles.

5

Alternatively, charge emission can be detected by the electro-optical effect (Kerr, Pockels or Faraday) of an appropriate transduction film, which will change its refractive index and would be detectable as an optical signal according to known techniques.

10

With reference to Figures 5A to 5D four alternative arrangements of excitation and detection systems are described.

Figure 5A shows a digital oscilloscope detection system. This is the preferred system for the probe structures of Figure 2 as it can track precise dimensional or positional changes in the probe structure. The excitation beam 20 is generated using a Q-switched laser 14 producing either single emissions or being self-modulated at frequencies of several, e.g. 10 to 200, kHz according to well known techniques.

20

The detection (interference) beam 23b is directed to an optical detection system 15 comprising a photodiode 52, a preamplifier 53 and a digitising oscilloscope 54 which is triggered by an optical detector 61 adjacent to the Q-switched laser and optically coupled thereto by a beam splitter 60.

25

The excitation beam 20 can be modulated by signals of up to several kHz, e.g. 10 to 200 kHz. The probe structure 11 is exposed to the pulsed excitation beam 20 and increases in volume, e.g. by between about 0.1% and 10%. This leads to a change in phase and intensity in the detection beam

WO 2004/027396

PCT/GB2003/004153

23b due to changes, e.g. interference, in the optical path, and in the beam area, this latter as a result of a change in the size of the probe structure. Typically, the acceleration of the lateral movement in the probe structure 11 is detectable from intensity changes in the detection beam 23b and  
5 corresponds to a mass change of material bound to the probe structure. Mass changes in the range  $10^{-14}$  to  $10^{-10}$  may be detectable in preferred embodiments. In addition reflection at the boundaries of the probe structure leads to a characteristic resonant decay that typically has a frequency of between 20 MHz and 200 MHz and a decay constant of between  $10^2$  and  $10^3$   
10  $s^{-1}$ . Any change of the material bound to the probe structure 11 changes the form of the decay.

It will be understood that the digitising oscilloscope may communicate the results with a suitable automated digital storage and processing system (not  
15 shown) for rapid assessment of many excitation responses from different probe structures on the substrate.

Figure 5B shows a lock-in amplifier detection system 58 also suited to the probe structures of Figure 2. Most components are similar to those  
20 described with reference to Figure 5A as indicated by the common reference numerals, with the addition of the digital filter 62, which acts to reduce noise. In this case however the repeating output of a Q-switched laser 14 is used as a 10 to 200 kHz reference signal. The filter frequency is selected to the appropriate acoustic emission frequency of the probe structure in order to  
25 optimise the signal to noise ratio of the detection signal. The response frequency typically lies in the range 1 to 2000 MHz and deviates by a maximum of 10% when fragments 17 are adsorbed onto the probe structure 11.

WO 2004/027396

PCT/GB2003/004153

Figure 5C shows an oscilloscope detection system 36 particularly adapted to the probe structures of Figure 3 for direct detection of the thermoelastic response from the output signal current 35. In this system the Q-switched laser 14 produces the trigger signal (at optical detector 61) for the  
5 oscilloscope 36. The electrical detection signal (output signal 35) of the probe structure 30 is applied to the oscilloscope via preamplifier 53. The Fourier transform of the output signal produced by the pulsed laser 14 is used to determine more detailed characteristics of the fragments 17.

10 After adsorption of fragments 17 onto the probe material 13, changes in the frequency and time decay can be observed, and these changes can be used to evaluate the fragments 17 adsorbed on the probe structure, and hence the sample 16.

15 Figure 5D shows an alternative synchronisation detection system that substantially filters the electrical emission signal 35 from the background noise using a digital filter 62. This eliminates noise from unwanted frequency ranges and allows higher gain amplification. The system coherently integrates the emission signal 35 from the transducer, averaging  
20 extraneous signals to zero.

In all the embodiments of figures 5A, 5B, 5C and 5D typical signal voltage outputs are in the range  $10\mu\text{V}$  to  $100\mu\text{V}$  and offer sufficient sensitivity to detect, for example probe structure mass changes of  $10^{-14}$  to  $10^{-10}$  g.

25

An example of the electrical detection signal received from the electrode by the oscilloscope is shown in Figure 6. Figure 6A illustrates the excitation response of the probe structure to a pulsed excitation beam. The slope indicated at 70 provides a measure of the thermoelastic acceleration of the

WO 2004/027396

PCT/GB2003/004153

probe structures. Figure 6B illustrates the excitation response of the probe structure to a single pulse excitation. The decay profile 71 provides a measure of the thermoelastic energy stored and released by the probe structure. Figure 6C illustrates the frequency spectrum 64 of the excitation response of the probe structure.

An exemplary characteristic response of the changes in thermoelastic response (vibration) of the probe structure before and after exposure to sucrose solutions is shown in Figure 7A.

10

The effect of protein binding is shown in Figure 7B and the effect of hybridisation of polynucleotides is shown in Figure 7C.

The results shown in Figure 7 were obtained at 25 °C using a 100 nm aluminium thermoelastic layer on a quartz substrate. The sucrose was dissolved in distilled water, and the protein and DNA solutions in PBS at pH 6.2. In Figures 7B and 7C Protein A and Poly C are used as the probe materials.

The upper curves are the time domain responses and the lower curves are the frequency domain responses. Significant changes in response are observed following these interface reactions.

### *Sample delivery*

25

Application of the sample to the probe structures may be achieved in a number of different ways. For DNA analysis the DNA sample may be extracted from whole blood. Separation of the DNA is carried out by dielectrophoretic field, which transports the cells to a contact electrode. An

WO 2004/027396

PCT/GB2003/004153

AC signal from 1 to 10 MHz source is applied to the contact electrode to provide a transport force. This removes the need for centrifuging the sample to separate the cells. With the cells at the electrode a voltage pulse is applied to lyse the cells breaking through the membrane and releasing the cell contents. Excision enzymes are used to cleave the genomic DNA strands to make sequenceable lengths similar to the length of an oligonucleotide on the probe structure. Temperatures above the annealing temperature of the DNA are used to separate double strands and provide single strands for analysis. Exposure of the sample to the array of probe structures 11 may occur in a single step, especially for small array areas. We also contemplate multiple step exposure, e.g. by pipetting the sample onto each probe structure.

### *Coupling chemistries*

- Probe materials 13, 33, 44, such as nucleic acids can be attached to the probe structures 11 of the acoustic transducer arrays using various suitable chemistries, of which the following is a non-exhaustive list of possibilities. The coupling chemistries are indicated for their preferred substrate type.
1. Avidin or streptavidin can be adsorbed to a gold surface, followed by oligonucleotides labelled with a biotin moiety which then binds irreversibly.
  2. Amino-functionalised oligomers (3' and 5') can be attached to a silanised glass or silicon surface using glutaraldehyde.
  3. Alkyl thiols can be attached to oligonucleotides and DNA. These thiols then assemble on a gold surface as an ordered monolayer film.
  4. Carboxyl-modified surfaces of crystalline silicon will attach to thiol modified DNA by means of electrostatic adsorption of polylysine and a heterobifunctional cross-linker.

WO 2004/027396

PCT/GB2003/004153

5. Aldehyde modified DNA oligonucleotides can be attached to a dextran acrylamide copolymer layer on glass, gold and silicon surfaces.
6. Alkoxysilanes such as aminopropyltriethoxysilane (APTES) are used to form a stable cross-linked film which is treated with succinic anhydride to  
5 modify the amino group to a carboxylic acid moiety. An amino acid linked nucleic acid will then bind via carbodiimide coupling.
7. 3-mercaptopropyltrimethoxysilane (MPS) can be used to attach thiol modified DNA.
8. Glycidoxypentyl-triethoxysilane (GOPS) will also attach a thiol  
10 modified DNA with a greater distance between the nucleic acid and the surface of the probe structure or substrate.
9. DNA/nucleic acid can also be conjugated to a silane for direct attachment to the probe structure surface.
10. Thiols attach the DNA to gold surfaces and silanes to silica surfaces.

15

The techniques and apparatus described above offer very considerable advantages in terms of reduced cost and complexity of analysis apparatus. Well known thin film lithographic or robotic spotting techniques can be used to form the high density arrays of probe structures, particularly on rotatable  
20 discs. Existing compact disc read/write technology can be used to provide the laser based excitation systems and disc access mechanisms for positioning the laser with respect to a rotating substrate. In such a system a drive means is provided for rotating the disc relative to an axis and an indexing means varies the position of the electromagnetic excitation and  
25 detection system relative to said axis, typically in a radial direction.

As a result the analysis apparatus can be made fully portable, being only a few kilograms in weight owing to the nature of the laser acoustic transducer. The analysis apparatus can be made largely or fully automatic designed for

WO 2004/027396

PCT/GB2003/004153

use by non-expert personnel, and does not require complex chemical protocols. This provides for highly reliable analysis. No special environment for use is required (e.g. Light- or sound-free), unlike fluorescent techniques, and the apparatus is found to be substantially noise free, being non-responsive to dust and optical contamination. Only material  
5 that is bound to the probe structures is detected.

The probe structure transducers formed on the substrates have been found to be sufficiently sensitive to enable detection of binding between DNA strands  
10 and single base-pair differences. The acoustic frequencies used can be adjusted to obtain further increases in sensitivity.

The probe structure transducer elements can be formed from any suitable material, particularly gold, silver, aluminium, copper or tungsten, by  
15 evaporation or sputtering with photolithographic patterning techniques well known in the semiconductor industry, to define the array.

The substrate can be formed from any suitable material, e.g. soda glass, BK7 glass, borosilicate glass, sapphire, silica glass (vitreosil), crystalline quartz or  
20 plastics materials such as polystyrene, polycarbonate or polyethylene.

Some applications of the systems described herein are in large molecule/  
small molecule interactions, large molecule/large molecule interactions,  
gaseous/solid interactions, genotyping, DNA sequencing and cell expression  
25 analysis.

Those skilled in the art will recognise that other embodiments not described above are within the scope of the appended claims.



WO 2004/027396

PCT/GB2003/004153

**CLAIMS**

1. An apparatus for detecting a variation in a probe, comprising:  
a probe which is adapted to undergo one or more of a thermoelastic,  
5 thermoelectric or thermomagnetic excitation response when excited by  
temporally varying electromagnetic radiation, the excitation response being a  
function of the physical and/or chemical properties of the probe and/or of  
material binding thereto;  
a source of electromagnetic radiation;  
10 means for directing the electromagnetic radiation at the probe; and  
a transducer adapted to determine the excitation response of the  
probe.
2. The apparatus of claim 1 in which each probe comprises a probe  
15 structure having a substrate surface onto which is bound probe material.
3. The apparatus of claim 2 in which the substrate is preferably a thin  
film.
- 20 4. The apparatus of claim 1 further comprising a plurality of probes.
5. The apparatus of claim 4 in which the plurality of probes are formed  
in an array.
- 25 6. The apparatus of claim 4 or claim 5 in which each probe comprises  
probe material which is different to that on other probes.
7. The apparatus of claim 2 in which the probe material comprises  
molecules of one type.

WO 2004/027396

PCT/GB2003/004153

8. The apparatus of claim 2 in which the probe material comprises a mixture of different molecules.
- 5 9. The apparatus of claim 1 in which the surface of the probe is planar.
10. The apparatus of claim 1 in which the surface of the probe is curved.
11. The apparatus of claim 1 in which the source of electromagnetic  
10 radiation emits radiation in the optical portion of the electromagnetic spectrum.
12. The apparatus of claim 1 or claim 11 in which the source of electromagnetic radiation is a laser.
- 15 13. The apparatus of claim 1 in which the source of electromagnetic radiation is positioned so that the radiation impinges directly on the probe material.
- 20 14. The apparatus of claim 1 in which the source of electromagnetic radiation is positioned so that it first passes through a substrate transparent to the radiation before impinging on the probe material.
15. A sensor, comprising:  
25 a substrate, and  
one or more probes on the surface of the substrate, the probes being adapted to undergo one or more of a thermoelastic, thermoelectric or thermomagnetic excitation response when excited by temporally varying electromagnetic radiation, the excitation response being a function of the

WO 2004/027396

PCT/GB2003/004153

physical and/or chemical properties of the probe(s) and/or material binding thereto.

16. The sensor of claim 15 in the form of a plate.

5

17. The sensor of claim 15 in which the substrate is electromagnetically transparent.

18. The sensor of claim 15 in which the substrate acts as, or is part of, a  
10 transducer.

19. The sensor of claim 15 in which the substrate is of such a thickness that it has sufficient strength for ease of handling, and also that it will permit the desired amount of electromagnetic radiation to pass through it.

15

20. The sensor of claim 19 in which the substrate has a thickness in the range 0.2 to 1.0 mm.

21. The sensor of claim 15 further including a plurality of probes each of  
20 which is adapted to undergo a localised electrical response when the probe is excited by temporally varying electromagnetic radiation and of generating an electrical output response corresponding thereto, the characteristics of the electrical response being a function of the physical or chemical properties of the probe and/or of material binding thereto, and

25 a transducer for transmitting the electrical response.

22. The sensor of claim 21 in which different probe materials are bound to different probes.

WO 2004/027396

PCT/GB2003/004153

23. A transducer apparatus for detecting spatially localised variations in material binding to the surface of a plate, comprising:

a substrate;

a plurality of thin film probe structures on a surface of the substrate,  
5 each probe structure being adapted to undergo one or more of a localised thermoelastic, thermoelectric or thermomagnetic excitation response when excited by temporally varying electromagnetic radiation, characteristics of the excitation response being a function of physical and/or chemical properties of material binding to the surface of the probe structure;

10 electromagnetic excitation means for directing electromagnetic energy at a selected one of the probe structures in order to elicit the excitation response; and

detection means for determining the excitation response of the probe structures.

15

24. The apparatus of claim 23 in which the electromagnetic excitation means emits said temporally varying electromagnetic radiation in the optical spectrum.

20 25. The apparatus of claim 24 in which the substrate is formed from an optically transparent medium, and in which the electromagnetic excitation means is adapted to direct said electromagnetic energy to a lower surface of the probe structure via the substrate.

25 26. The apparatus of claim 25 in which the probe structures are each adapted to absorb said electromagnetic radiation to thereby generate a thermoelastic excitation response in the form of a volume change within the structure, and in which the detection means comprises means for detecting said volume change in said probe structure.

WO 2004/027396

PCT/GB2003/004153

27. The apparatus of claim 26 in which the probe structures each comprise a thin film metal spot.

5 28. The apparatus of claim 26 in which the detection means comprises means for receiving reflected electromagnetic energy from the selected probe structure.

10 29. The apparatus of claim 25 in which the probe structures are each adapted to absorb said electromagnetic radiation to thereby generate a thermoelastic response in the form of a lateral displacement of the structure, and in which the detection means comprises means for detecting said lateral displacement of the probe structure.

15 30. The apparatus of claim 29 in which the probe structures each comprise a thin film dielectric material spot.

20 31. The apparatus of claim 23 in which the probe structures include a transducer element for generating an electrical output signal representative of a thermoelastic response of said probe structures.

25 32. The apparatus of claim 23 in which the probe structures include a transducer element adapted to provide a thermoelectric excitation response to said temporally varying electromagnetic radiation, and in which the detection means comprises means for detecting said thermoelectric excitation response.

33. The apparatus of claim 23 in which the probe structures include a transducer element adapted to provide a thermomagnetic excitation response

WO 2004/027396

PCT/GB2003/004153

to said temporally varying electromagnetic radiation, and in which the detection means comprises means for detecting said thermomagnetic excitation response.

5 34. The apparatus of any one of claims 23 to 33 in which the electromagnetic excitation means comprises a laser adapted to irradiate selected ones of the probe structures with pulsed or continuous wave electromagnetic radiation.

10 35. The apparatus of claim 23 in which the detection means comprises an optical interferometer for receiving a reference beam from an optical source, and an interference beam reflected from the probe structure.

15 36. The apparatus of claim 23 in which the detection means includes a transient recorder or digitising oscilloscope for determining an amplitude and phase variation in thermoelastic response signals received from the probe structures.

20 37. The apparatus of claim 23 in which the electromagnetic excitation means and the detection means include means for detecting a change in resonant frequency of a selected probe structure.

25 38. The apparatus of claim 23 in which each probe structure includes an entrant electrode adapted to provide a ground plane to a lower surface of the substrate.

39. The apparatus of claim 23 further including a molecular probe material bound to an exposed surface of the probe structure.

WO 2004/027396

PCT/GB2003/004153

40. The apparatus of claim 23 in which the substrate comprises a disc,  
and further including:

drive means for rotating said disc relative to an axis;

indexing means for varying the position of said electromagnetic

5 excitation means and said detection means relative to said axis.

41. A sensor plate comprising:

an optically transparent substrate; and

a plurality of thin film probe structures on a surface of the substrate,

10 each probe structure being adapted to undergo one or more of a localised  
thermoelastic, thermoelectric or thermomagnetic excitation response when  
excited by temporally varying electromagnetic radiation, characteristics of  
the excitation response being a function of physical and/or chemical  
properties of material binding to the surface of the probe structure.

15

42. The sensor plate of claim 41 further including:

a plurality of different molecular probe materials respectively bound  
to the exposed surfaces of a plurality of the probe structures.

20 43. The sensor plate of claim 41 or claim 42 in which the substrate  
comprises silica.

44. The sensor plate of claim 41 or claim 42 in which the probe structures  
each comprise a thin film metal spot.

25

45. The sensor plate of claim 41 or claim 42 in which the probe structures  
each comprise a thin film dielectric spot.

WO 2004/027396

PCT/GB2003/004153

46. The sensor plate of claim 41 in which each probe structure further includes a transducer element for generating an electrical output signal representative of the thermoelastic response of said probe structure.

5 47. The sensor plate of claim 41 in which each probe structure comprises a transducer element adapted to provide a thermoelectric excitation response to said temporally varying electromagnetic radiation.

48. The sensor plate of claim 25 further including an electrode for  
10 transmitting the thermoelectric excitation response to a detector.

49. A sensor plate comprising:

a substrate;

a plurality of thin film probe structures on a surface of the substrate;

15 each probe structure comprising a transduction film adapted to undergo one or more of a localised thermoelectric or thermomagnetic excitation response when the probe structure is excited by temporally varying electromagnetic radiation and generating an electrical output response corresponding thereto, characteristics of the electrical output  
20 response generated being a function of physical and/or chemical properties of material binding to a surface of the probe structure; and

an electrode for transmitting the electrical output response.

50. The sensor plate of claim 49 further comprising:

25 a plurality of different molecular probe materials respectively bound to a plurality of said adjacent surfaces on the second face of the substrate.



WO 2004/027396

PCT/GB2003/004153

51. The sensor plate of claim 49 in which the probe structures further include a passivation layer over the transduction film for receiving the respective probe material binding to the surface of the probe structure.

5 52. The sensor plate of any one of claims 49 to 51 in which the substrate comprises silica.

53. The sensor plate of any one of claims 49 to 51 in which the probe structures comprise a magnetic material.

10

54. The sensor plate of claim 41 in which the probe structures are arranged in a series of generally circular or helical arrays on a circular disc substrate.

15 55. A method of using a transducer apparatus according to any one of claims 23 to 40 comprising the steps of:

providing a plurality of probe materials respectively attached to a plurality of probe structures;

20 exposing the probe structures to a sample material to permit binding of material to the surface of the probe structure;

using the electromagnetic excitation means to direct electromagnetic energy at the probe structures; and

25 detecting changes in excitation response of each probe structure by comparing its excitation response with and without exposure to the sample material.

56. An acoustic transducer apparatus for detecting spatially localised variations in material binding to the surface of a plate substantially as described herein and with reference to the accompanying drawings.

**WO 2004/027396**

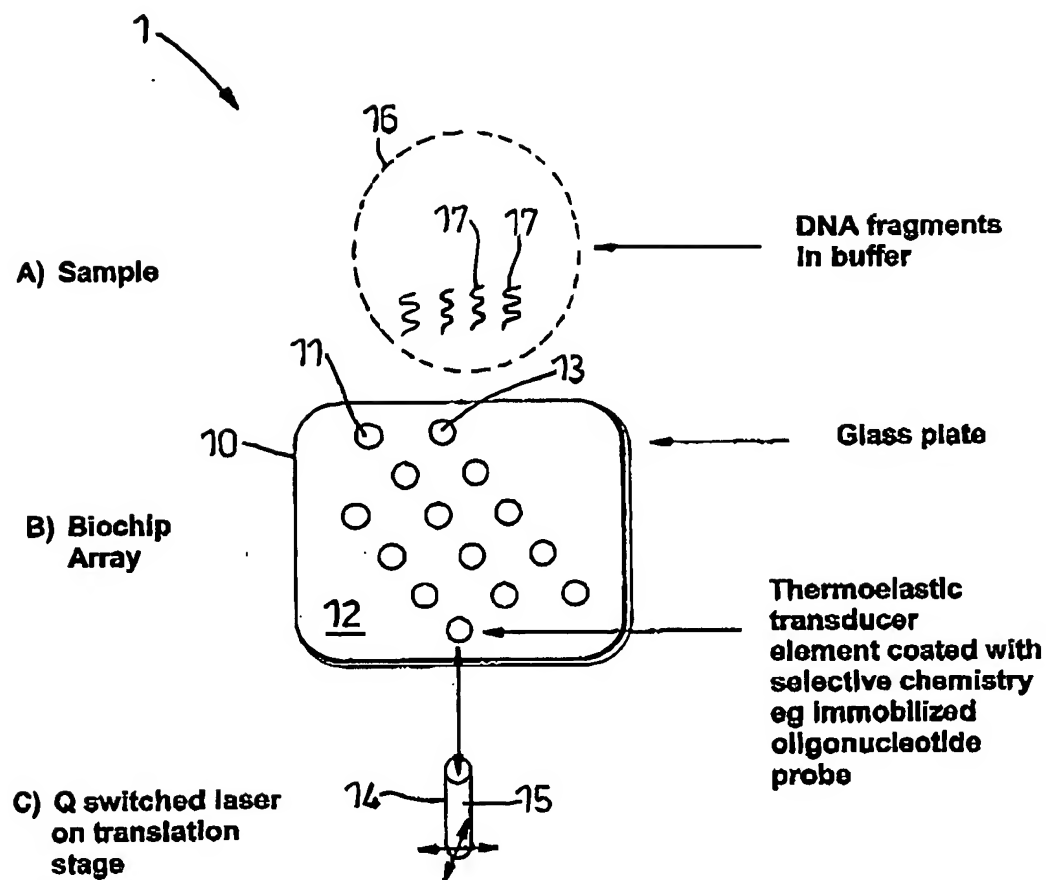
**PCT/GB2003/004153**

57. An acoustic sensor plate substantially as described herein and with reference to the accompanying drawings.

WO 2004/027396

PCT/GB2003/004153

1/9

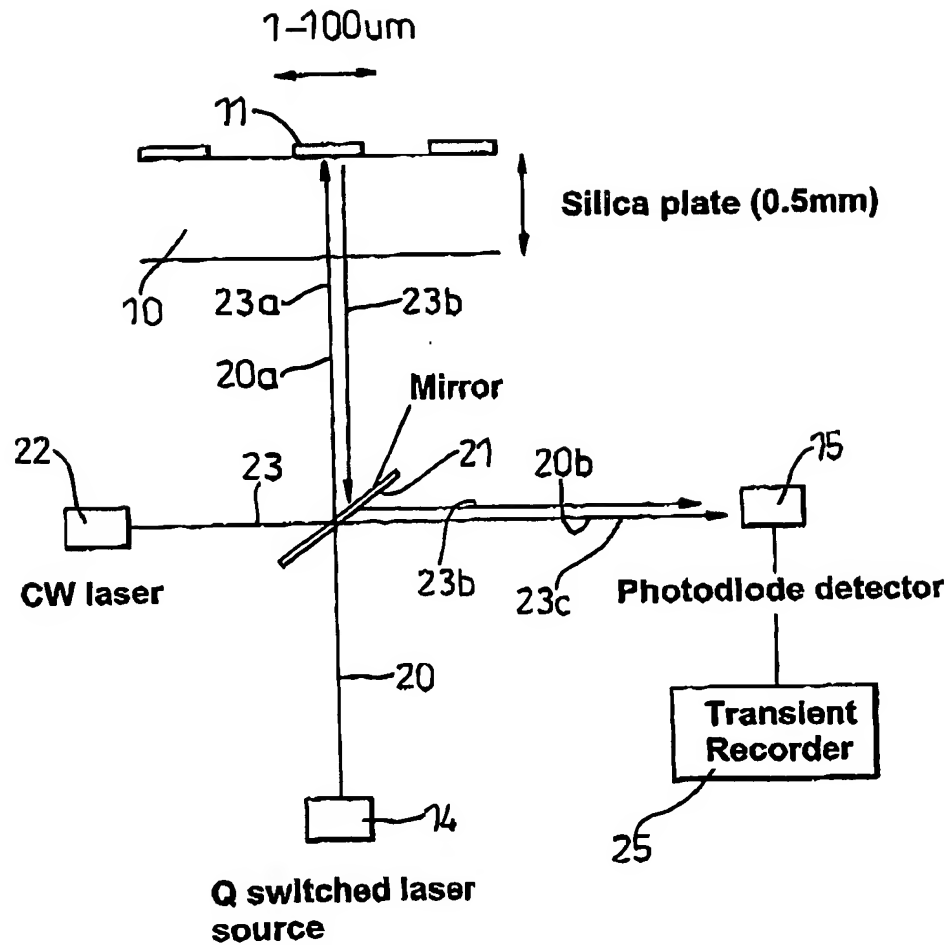
**Fig. 1**

SUBSTITUTE SHEET (RULE 26)

WO 2004/027396

PCT/GB2003/004153

2/9

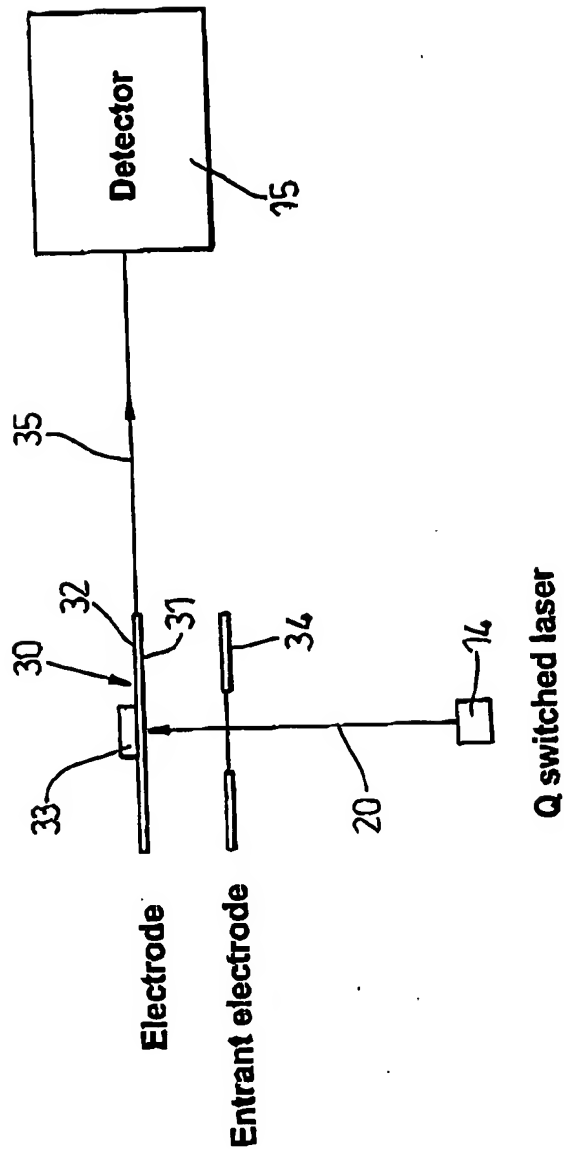
**Fig. 2**

SUBSTITUTE SHEET (RULE 26)

WO 2004/027396

3/9

PCT/GB2003/004153

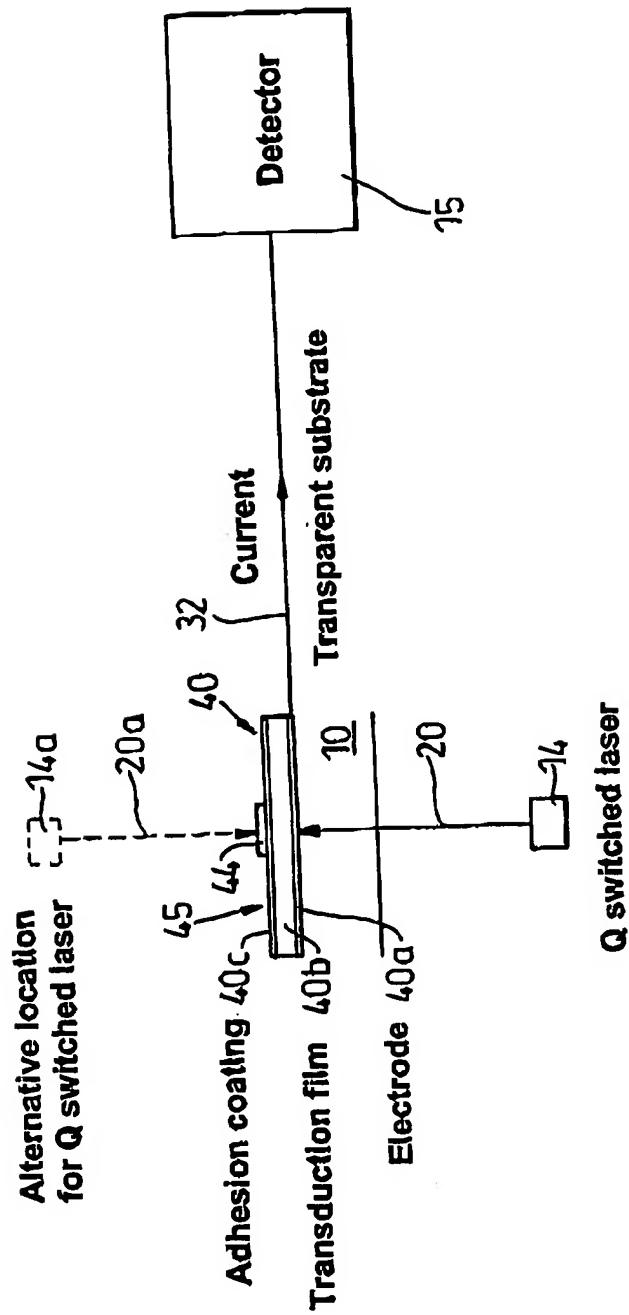
**Fig. 3**

SUBSTITUTE SHEET (RULE 26)

WO 2004/027396

4/9

PCT/GB2003/004153

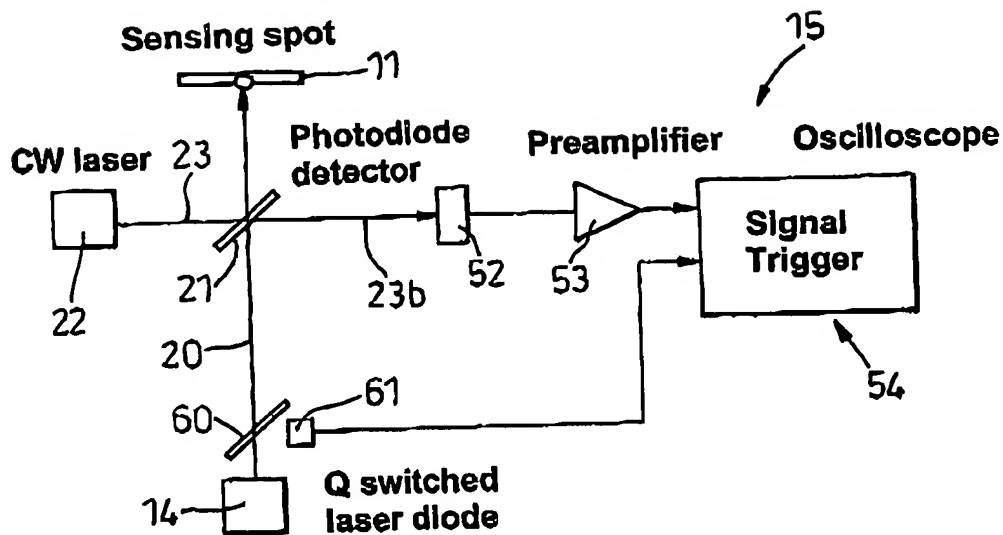
**Fig. 4**

SUBSTITUTE SHEET (RULE 26)

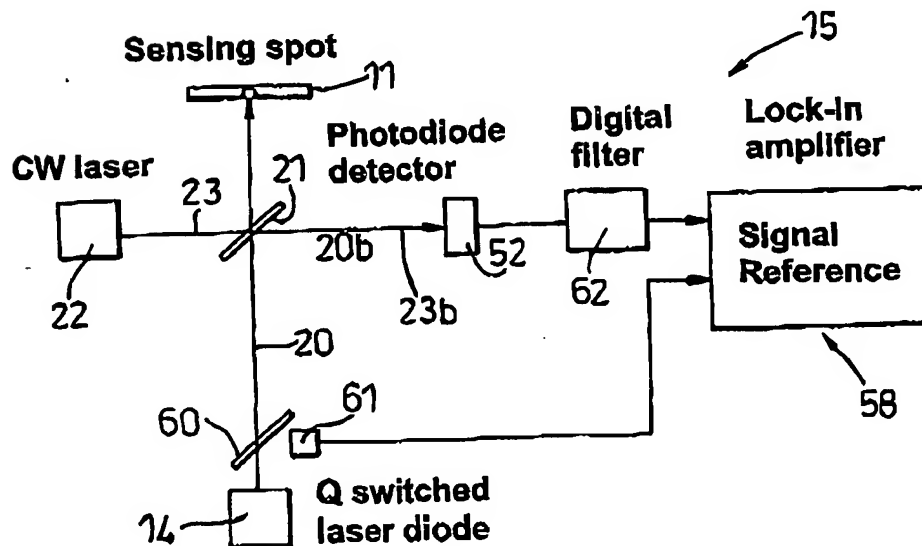
WO 2004/027396

5/9

PCT/GB2003/004153



Interferometer &amp; oscilloscope detector

**Fig. 5A**

Interferometer &amp; Lock In amplifier detector

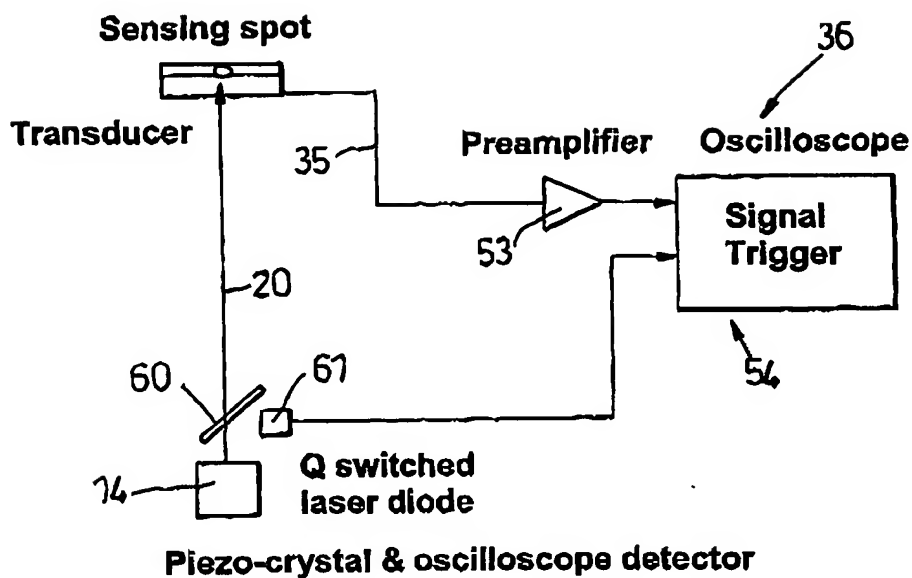
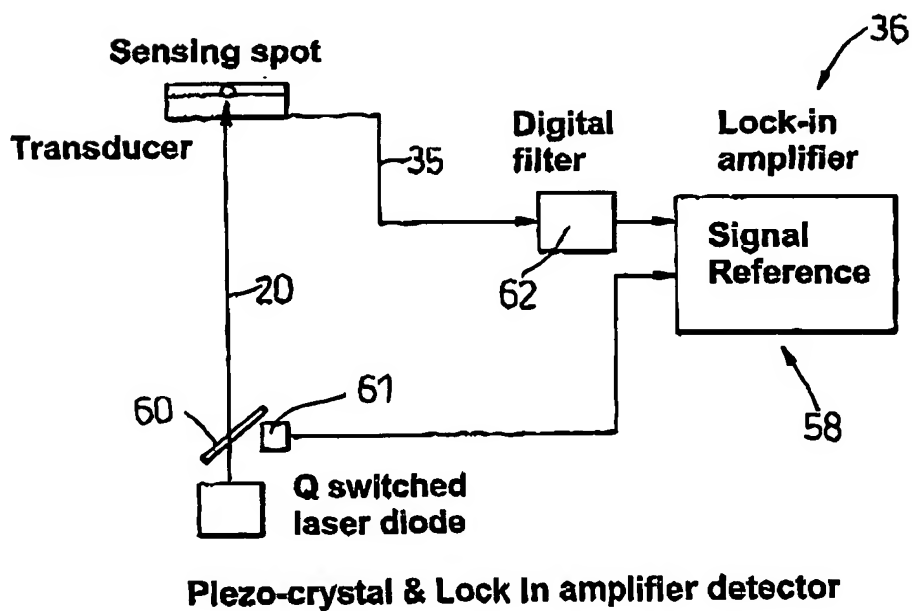
**Fig. 5B**

SUBSTITUTE SHEET (RULE 26)

WO 2004/027396

6/9

PCT/GB2003/004153

*Fig. 5C**Fig. 5D*

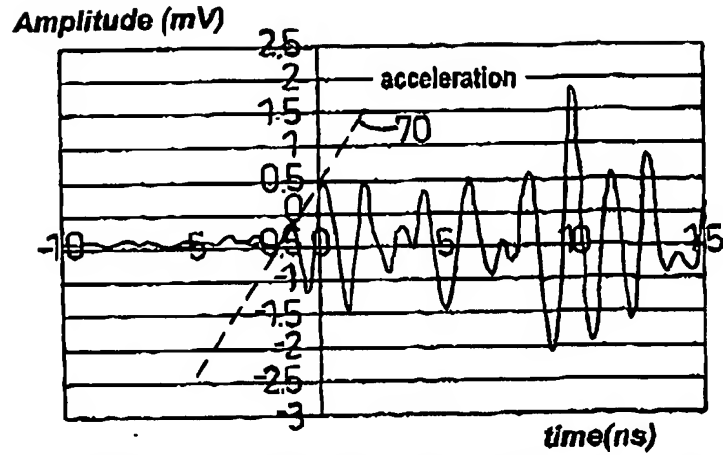
SUBSTITUTE SHEET (RULE 26)



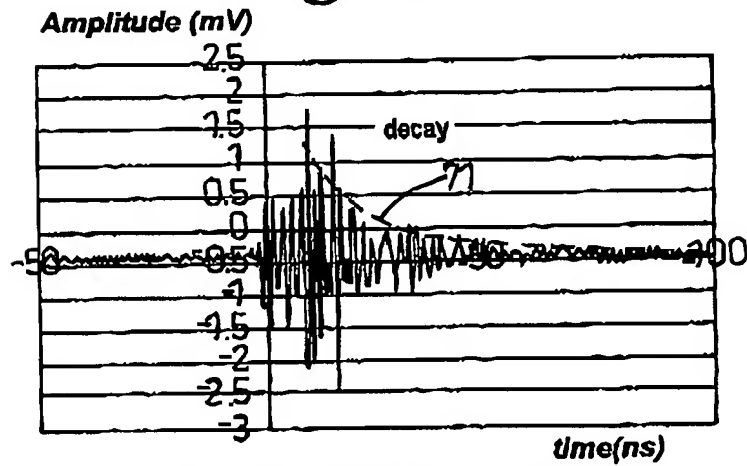
WO 2004/027396

PCT/GB2003/004153

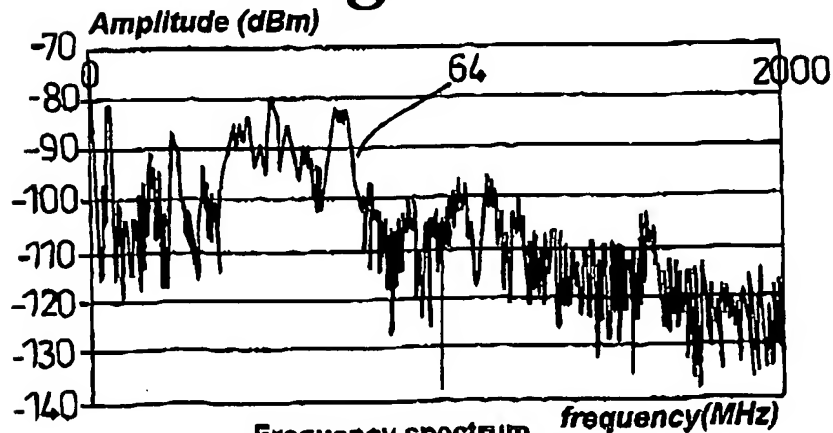
7/9



Oscilloscope detector output (high resolution)

**Fig. 6A**

Oscilloscope detector output

**Fig. 6B**

Frequency spectrum

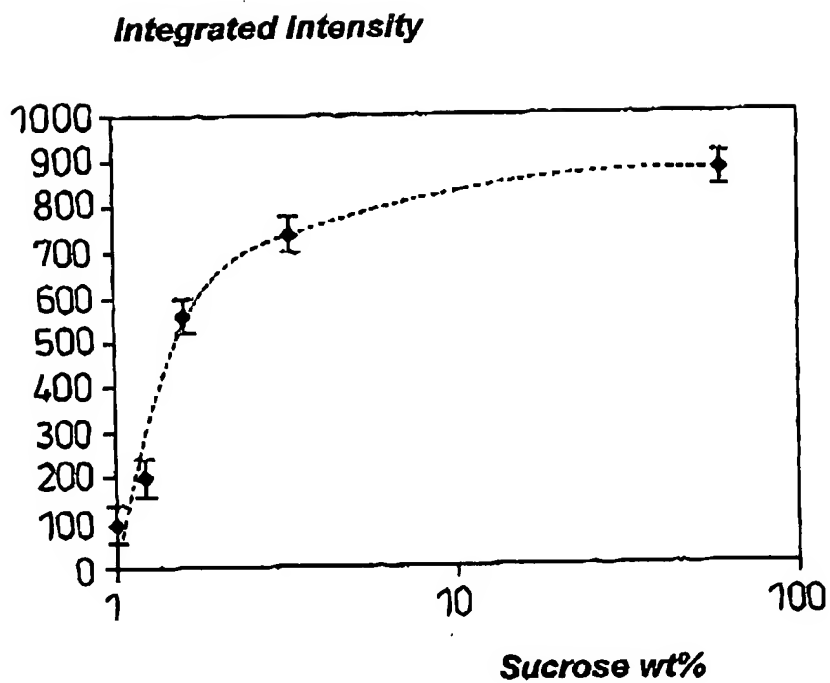
**Fig. 6C**

SUBSTITUTE SHEET (RULE 26)

WO 2004/027396

8/9

PCT/GB2003/004153

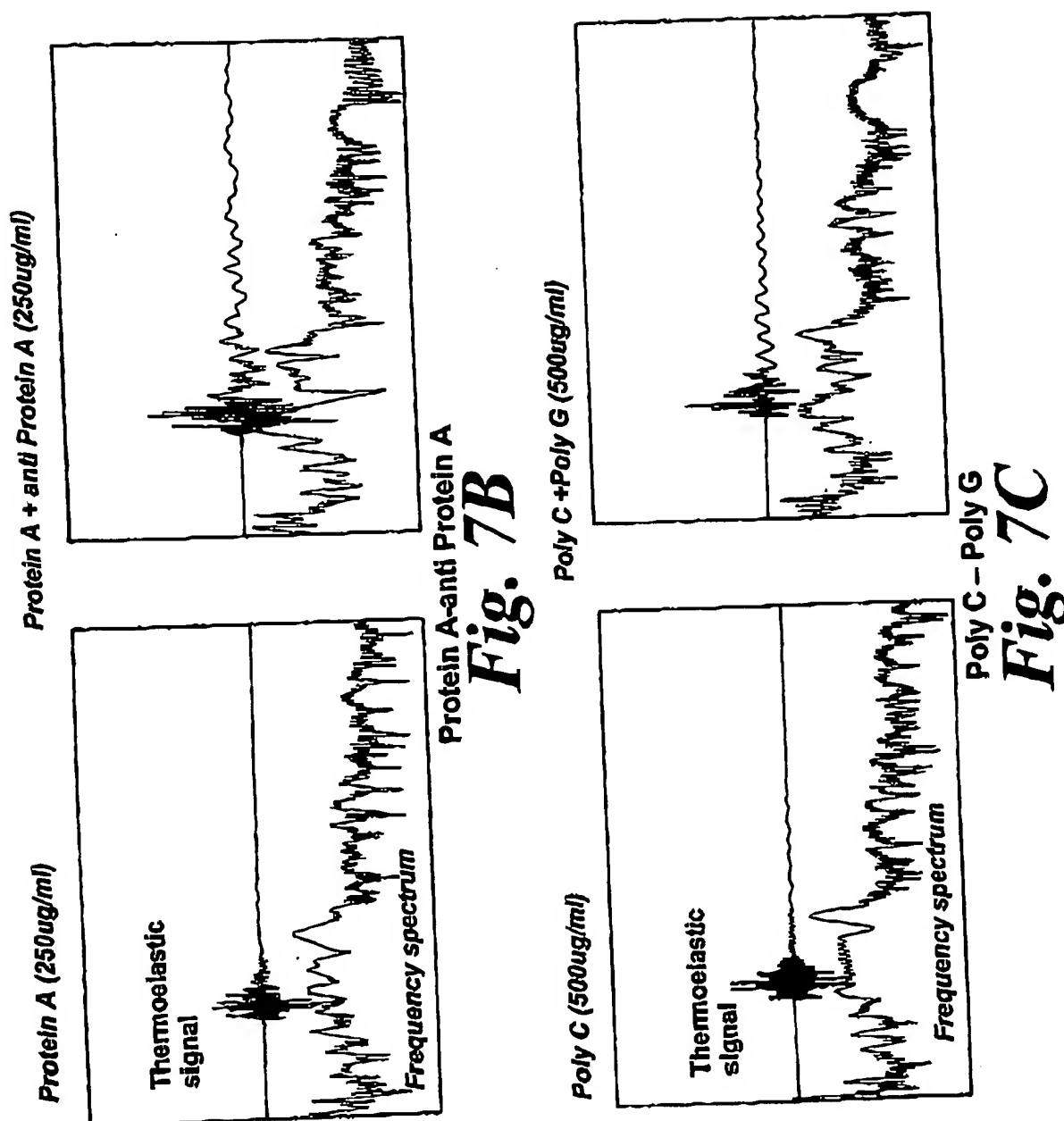
***Fig. 7A***

SUBSTITUTE SHEET (RULE 26)

WO 2004/027396

9/9

PCT/GB2003/004153



SUBSTITUTE SHEET (RULE 26)

WO 2004/027396

PCT/GB2003/004153

According to one aspect the present invention provides an apparatus for detecting a variation in a probe, <sup>according to claim 9.</sup> ~~comprising:~~

- ~~a probe which is adapted to undergo one or more of a thermoelastic,~~  
thermoelectric or thermomagnetic excitation response when excited by  
5 temporally varying electromagnetic radiation, the excitation response being a  
function of the physical and/or chemical properties of the probe and/or of  
material binding thereto;  
a source of electromagnetic radiation;  
means for directing the electromagnetic radiation at the probe; and  
10 a transducer adapted to determine the excitation response of the  
~~probe~~

The probe usually comprises a plurality of probe molecules (probe material) carried on a separate surface, e.g. the surface of a substrate. The substrate is  
15 preferably a thin film. However where the probe material on its own possesses appropriate physical properties the probe may be such material on its own.

We prefer the apparatus to comprise a plurality of probes, e.g. in the form of  
20 an array. Each probe may comprise probe material which is different to that on other probes.

The probe material may consist of a collection of the same molecules or of a mixture of different molecules.

25 The surface of the probe may be a flat, e.g. a flat plate, but the surface may also be curved, e.g. a segment of a sphere and such curved surfaces are included in the term "plate".

WO 2004/027396

PCT/GB2003/004153

The thermal excitation response may be thermoelectric, thermomagnetic or preferably thermoelastic.

5 Preferably the source of electromagnetic radiation emits radiation in the optical portion of the electromagnetic spectrum, and more preferably is a laser. The source of electromagnetic radiation may be positioned so that the radiation impinges directly on the probe material, but more usually is positioned so that it first passes through a substrate transparent to the radiation before impinging on the probe material.

10

According to another aspect the invention provides a sensor, ~~comprising:~~ *according to claim 1.*

~~a substrate, and~~

15 one or more probes on the surface of the substrate, the probes being adapted to undergo one or more of a thermoelastic, thermoelectric or thermomagnetic excitation response when excited by temporally varying electromagnetic radiation, the excitation response being a function of the physical and/or chemical properties of the probe(s) and/or material binding ~~thereto.~~

20 The sensor is preferably in the form of a plate.

The substrate is preferably electromagnetically transparent, and may act as, or be part of, a transducer. The substrate is conveniently of such a thickness that it has sufficient strength for ease of handling, and also that it will permit 25 the desired amount of electromagnetic radiation to pass through it. A convenient thickness is generally in the range 0.2 to 1.0 mm.

Preferably the sensor includes:

< a probe structure on a substrate of a sensor  
according to claim 1; >

WO 2004/027396

PCT/GE2003/004153

## CLAIMS

9. <sup>Structure</sup>  
1. An apparatus for detecting a variation in a probe, comprising:  
~~< - >~~  
~~a probe which is adapted to undergo one or more of a thermoelectric,~~  
5 thermoelectric or thermomagnetic excitation response when excited by  
temporally varying electromagnetic radiation, the excitation response being a  
function of the physical and/or chemical properties of the probe and/or of  
~~material binding thereto;~~
- 10 (14) a source of electromagnetic radiation; <sup>(20)</sup>  
(20) means for directing the electromagnetic radiation at the probe; and  
(15) a transducer adapted to determine the excitation response of the  
probe <sup>Structure</sup>.
10. <sup>9</sup>  
2. The apparatus of claim 1 in which each probe ~~comprises a probe~~  
(11) has <sup>(13)</sup>  
15 structure having a substrate surface onto which is bound probe material.
- 11 <sup>10</sup> <sup>(16)</sup>  
3. The apparatus of claim 2 in which the substrate is preferably a thin  
film.
12. <sup>9</sup>  
20 4. The apparatus of claim 1 further comprising a plurality of probes <sup>structures</sup>.
13. <sup>12</sup> <sup>Structures</sup>  
5. The apparatus of claim 4 in which the plurality of probes are formed  
in an array.
14. <sup>12</sup> <sup>13</sup> <sup>Structure</sup>  
25 6. The apparatus of claim 4 or claim 5 in which each probe comprises  
(13, 16, 17) probe material which is different to that on other probes <sup>structures</sup>.
15. <sup>10</sup> <sup>(13, 16, 17)</sup>  
7. The apparatus of claim 2 in which the probe material comprises  
molecules of one type.

WO 2004/027396

PCT/GB2003/004153

16. ~~8.~~ The apparatus of claim ~~2~~<sup>10</sup> in which the probe material comprises a mixture of different molecules.
17. ~~9.~~ The apparatus of claim ~~1~~<sup>9</sup> in which the surface of the probe is planar. *Structure*
18. ~~10.~~ The apparatus of claim ~~1~~<sup>9</sup> in which the surface of the probe is curved. *Structure*
19. ~~11.~~ The apparatus of claim ~~1~~<sup>9</sup> in which the source of electromagnetic radiation emits radiation in the optical portion of the electromagnetic spectrum. *(14)*
20. ~~12.~~ The apparatus of claim ~~1~~<sup>9</sup> or claim ~~11~~<sup>19</sup> in which the source of electromagnetic radiation is a laser. *(14)*
21. ~~13.~~ The apparatus of claim ~~1~~<sup>9</sup> in which the source of electromagnetic radiation is positioned so that the radiation impinges directly on the probe material.
22. ~~14.~~ The apparatus of claim ~~1~~<sup>9</sup> in which the source of electromagnetic radiation is positioned so that it first passes through a substrate transparent to the radiation before impinging on the probe material. *(10)*
25. ~~15.~~ A sensor, comprising:  
 a substrate, and *(10)*  
 one or more probes *structures (11) attached to* the surface of the substrate, the *probe structures* ~~probes~~ being adapted to undergo one or more of a thermoelastic, thermoelectric or thermomagnetic excitation response when excited by temporally varying electromagnetic radiation, the excitation response being a function of the

WO 2004/027396

PCT/GB2003/004153

probe structure(s) (13)  
 physical and/or chemical properties of the ~~probe(s)~~ and/or material binding thereto.

2  
~~16.~~ The sensor of claim ~~15~~<sup>1</sup> in the form of a plate.

5  
~~17.~~ The sensor of claim ~~15~~<sup>1</sup> in which the substrate<sup>(10)</sup> is electromagnetically transparent.

~~18.~~ The sensor of claim ~~15~~<sup>1</sup> in which the substrate<sup>(31)</sup> acts as, or is part of, a transducer.<sup>(30-34, 40)</sup>

~~19.~~ The sensor of claim ~~15~~<sup>1</sup> in which the substrate<sup>(10)</sup> is of such a thickness that it has sufficient strength for ease of handling, and also that it will permit the desired amount of electromagnetic radiation<sup>(20)</sup> to pass through it.

15  
~~20.~~ The sensor of claim ~~19~~<sup>5</sup> in which the substrate<sup>(10)</sup> has a thickness in the range 0.2 to 1.0 mm.

~~21.~~ The sensor of claim ~~15~~<sup>1</sup> further including a plurality of probes each of which is adapted to undergo a localised electrical response when the probe<sup>Structure (30)</sup> is excited by temporally varying electromagnetic radiation<sup>Structure</sup> and of generating an electrical output response<sup>(20)</sup> corresponding thereto, the characteristics of the electrical response being a function of the physical or chemical properties of the probe and/or of material binding thereto, and<sup>(35)</sup>  
~~25~~ a transducer<sup>Structure (30-34, 40)</sup> for transmitting the electrical response.

~~22.~~ The sensor of claim ~~21~~<sup>7</sup> in which different probe materials<sup>(13)</sup> are bound to different probes<sup>Structures</sup>.



< a probe structure on a substrate of a sensor according to claim 1; >

WO 2004/027396

PCT/GB2003/004753

23. A transducer apparatus<sup>(14,15)</sup> for detecting spatially localised variations in material binding to the surface of a plate, comprising:

~~< — >~~  
~~a substrate;~~

a plurality of thin film probe structures on a surface of the substrate,  
5 each probe structure being adapted to undergo one or more of a localised thermoelastic, thermoelectric or thermomagnetic excitation response when excited by temporally varying electromagnetic radiation, characteristics of the excitation response being a function of physical and/or chemical  
~~properties of material binding to the surface of the probe structure;~~

10 electromagnetic excitation means<sup>(14)</sup> for directing electromagnetic energy<sup>(20)</sup> at a selected one of the probe structures<sup>(10)</sup> in order to elicit the excitation response; and

detection means<sup>(15)</sup> for determining the excitation response of the probe structures.

15

24. The apparatus of claim 23 in which the electromagnetic excitation means<sup>(14)</sup> emits said temporally varying electromagnetic radiation in the optical spectrum.

20 25. The apparatus of claim 24 in which the substrate<sup>(10)</sup> is formed from an optically transparent medium, and in which the electromagnetic excitation means is adapted to direct said electromagnetic energy to a lower surface of the probe structure<sup>(11)</sup> via the substrate<sup>(10)</sup>.

25 26. The apparatus of claim 25 in which the probe structures<sup>(11)</sup> are each adapted to absorb said electromagnetic radiation to thereby generate a thermoelastic excitation response in the form of a volume change within the structure, and in which the detection means<sup>(15)</sup> comprises means for detecting said volume change in said probe structure.

W/O 2004/027396

PCT/CB2003/004153

27. The apparatus of claim 26 in which the probe structures<sup>(11)</sup> each comprise a thin film metal spot.
- 5 28. The apparatus of claim 26 in which the detection means comprises<sup>(15,21)</sup> means for receiving reflected electromagnetic energy from the selected probe structure.
- 10 29. The apparatus of claim 25 in which the probe structures<sup>(11)</sup> are each adapted to absorb said electromagnetic radiation to thereby generate a thermoelastic response in the form of a lateral displacement of the structure, and in which the detection means<sup>(15)</sup> comprises means for detecting said lateral displacement of the probe structure.
- 15 30. The apparatus of claim 29 in which the probe structures each comprise a thin film dielectric material spot.
31. The apparatus of claim 23 in which the probe structures include a transducer element<sup>(30-34)</sup> for generating an electrical output signal<sup>(35)</sup> representative of a thermoelastic response of said probe structures.
- 20 32. The apparatus of claim 23 in which the probe structures include a transducer element<sup>(30-34)</sup> adapted to provide a thermoelectric excitation response to said temporally varying electromagnetic radiation, and in which the detection means comprises means for detecting said thermoelectric excitation response.
- 25 33. The apparatus of claim 23 in which the probe structures include a transducer element<sup>(40)</sup> adapted to provide a thermomagnetic excitation response

WO 2004/027396

PCT/GB2003/004153

to said temporally varying electromagnetic radiation, and in which the detection means comprises means<sup>(40b, 15)</sup> for detecting said thermomagnetic excitation response.

- 5 34. The apparatus of any one of claims 23 to 33 in which the electromagnetic excitation means comprises a laser<sup>(14)</sup> adapted to irradiate selected ones of the probe structures with pulsed or continuous wave electromagnetic radiation.
- 10 35. The apparatus of claim 23 in which the detection means comprises an optical interferometer<sup>(21, 22, 15)</sup> for receiving a reference beam<sup>(23c)</sup> from an optical source<sup>(22)</sup>, and an interference beam<sup>(23b)</sup> reflected from the probe structure.
- 15 36. The apparatus of claim 23 in which the detection means includes a transient recorder<sup>(25)</sup> or digitising oscilloscope for determining an amplitude and phase variation in thermoelastic response signals received from the probe structures.
- 20 37. The apparatus of claim 23 in which the electromagnetic excitation means and the detection means include means<sup>(21, 22, 15)</sup> for detecting a change in resonant frequency of a selected probe structure.
- 25 38. The apparatus of claim 23 in which each probe structure includes an entrant electrode<sup>(34)</sup> adapted to provide a ground plane to a lower surface of the substrate<sup>(31)</sup>.
39. The apparatus of claim 23 further including a molecular probe<sup>(16, 17)</sup> material bound to an exposed surface of the probe structure.

WO 2004/012739G

PCT/GB2003/004153

40. The apparatus of claim 23 in which the substrate comprises a disc,  
and further including:
- drive means for rotating said disc relative to an axis;
  - indexing means for varying the position of said electromagnetic
  - 5 excitation means and said detection means relative to said axis.

- ~~41. A sensor plate comprising:~~
- an optically transparent substrate; and
  - a plurality of thin film probe structures on a surface of the substrate,
  - 10 each probe structure being adapted to undergo one or more of a localised thermoelectric, thermoelectric or thermomagnetic excitation response when excited by temporally varying electromagnetic radiation, characteristics of the excitation response being a function of physical and/or chemical properties of material binding to the surface of the probe structure.

- 15 42. The sensor plate of claim 41 further including:
- a plurality of different molecular probe materials respectively bound
  - ~~to the exposed surfaces of a plurality of the probe structures.~~

- 20 ~~41.~~ <sup>2</sup> ~~43.~~ The sensor ~~plate~~ of claim ~~41~~ or claim ~~42~~ in which the substrate <sup>(11)</sup> comprises silica.

- ~~42.~~ <sup>claim 2</sup> ~~44.~~ The sensor ~~plate~~ of claim ~~41~~ or claim ~~42~~ in which the probe structures each comprise a thin film metal spot.

- 25 ~~43.~~ <sup>2</sup> ~~45.~~ The sensor ~~plate~~ of claim ~~41~~ or claim ~~42~~ in which the probe structures each comprise a thin film dielectric spot.

WO 2004/017396

PCT/GB2003/004153

- ~~44.~~ <sup>2</sup> The sensor ~~plate~~ of claim ~~41~~ <sup>(30,40)</sup> in which each probe structure further includes a transducer element <sup>(35)</sup> for generating an electrical output signal representative of the thermoelastic response of said probe structure.
- 5 ~~47.~~ <sup>45</sup> The sensor ~~plate~~ of claim ~~41~~ <sup>2</sup> in which each probe structure comprises a transducer element adapted to provide a thermoelectric excitation response to said temporally varying electromagnetic radiation.
- 10 ~~48.~~ <sup>46</sup> The sensor ~~plate~~ of claim ~~25~~ <sup>2</sup> further including an electrode <sup>(32,40a)</sup> for transmitting the thermoelectric excitation response to a detector. <sup>(15)</sup>
- ~~49.~~ <sup>47.</sup> The of claim 1 in which:
- ~~a substrate;~~
- ~~a plurality of thin film probe structures on a surface of the substrate;~~
- 15 each probe structure <sup>comprises</sup> ~~comprising~~ a transduction film <sup>(40b)</sup> adapted to undergo one or more of a localised thermoelectric or thermomagnetic excitation response when the probe structure is excited by temporally varying electromagnetic radiation and generating an electrical output response corresponding thereto, characteristics of the electrical output
- 20 response generated being a function of physical and/or chemical properties of material binding to a surface of the probe structure; and <sup>(40b)</sup>
- an electrode <sup>(40b)</sup> for transmitting the electrical output response.
- ~~50.~~ <sup>48.</sup> The sensor ~~plate~~ of claim ~~49~~ <sup>47</sup> further comprising:
- 25 a plurality of different molecular probe materials respectively bound to a plurality of said adjacent surfaces on the second face of the substrate.

WO 2004/027396

PCT/GB2003/004153

- ~~49~~<sup>47</sup> The sensor ~~plate~~ of claim ~~49~~<sup>47</sup> in which the probe structures further include a passivation layer over the transduction film for receiving the respective probe material binding to the surface of the probe structure.
- 5 ~~50~~<sup>50</sup> The sensor ~~plate~~ of any one of claims ~~49~~<sup>47</sup> to ~~51~~<sup>49</sup> in which the substrate comprises silica.
- ~~51~~<sup>51</sup> The sensor ~~plate~~ of any one of claims ~~49~~<sup>47</sup> to ~~51~~<sup>49</sup> in which the probe structures comprise a magnetic material.
- 10 ~~52~~<sup>52</sup> The sensor ~~plate~~ of claim ~~41~~<sup>1</sup> in which the probe structures are arranged in a series of generally circular or helical arrays on a circular disc substrate.
- 15 ~~53~~<sup>53</sup> A method of using a transducer apparatus according to any one of claims 23 to 40 comprising the steps of:
- providing a plurality of probe materials respectively attached to a plurality of probe structures;
  - exposing the probe structures to a sample material to permit binding
  - 20 of material to the surface of the probe structure;
  - using the electromagnetic excitation means to direct electromagnetic energy at the probe structures; and
  - detecting changes in excitation response of each probe structure by comparing its excitation response with and without exposure to the sample
  - 25 material.
- ~~56. An acoustic transducer apparatus for detecting spatially localised variations in material binding to the surface of a plate substantially as described herein and with reference to the accompanying drawings.~~

WQ 2004/027396

PCT/GB2003/004153

~~57. An acoustic sensor plate substantially as described herein and with  
reference to the accompanying drawings.~~